

2163

PATENT

IFW

Docket No.: 1113-202

**CERTIFICATION OF MAILING**

I hereby certify that this correspondence and the documents indicated therein are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 27, 2004.

Date of Deposit

Rochelle Lieberman

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Dver et al.

SERIAL NO.: 09/985,973

FILING DATE: November 7, 2001

FOR: **Lead Suspect Management**

Group Art Unit: 2163

Examiner: Unknown

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97 (b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under § 1.113 or Notice of Allowance under § 1.311, whichever occurs first. [37 CFR § 1.97 (c)]
- ☐ After a Final Office Action under § 1.113 or Notice of Allowance under § 1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97 (d)]

Consistent with Applicant's obligations pursuant to 37 CFR § § 1.97 and 1.98, the

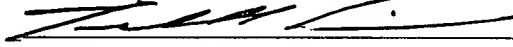
following requirements have been met:

- ☒ No separate requirements are needed
- ☒ No additional fee is required.

**Provision of Copies of References**

- ☒ Copies of all cited references are enclosed.
- ☐ A copy of the ABSTRACT of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ A partial translation of Reference \_\_\_\_\_ is enclosed herewith and forms a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.
- ☐ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this transmittal is attached.

Respectfully submitted,

By:   
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Registration No. 39,276

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Date: September 27, 2004

Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Document Number (Optional)

Application Number

09/985,973

Applicant

Dver et al.

Filing Date

November 7, 2001

Group Art Unit

2163

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER |   |   |   |   |   |   |  | DATE      | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------|---|---|---|---|---|---|--|-----------|--------------------|-------|----------|-------------------------------|
|                     | 6               | 6 | 6 | 2 | 1 | 9 | 9 |  | 12/9/2003 | Flight et al.      | 707   | 704.1    |                               |
|                     | 6               | 5 | 7 | 4 | 6 | 0 | 5 |  | 6/3/2003  | Sanders et al.     | 705   | 8        |                               |
|                     | 6               | 5 | 3 | 9 | 4 | 0 | 4 |  | 3/25/2003 | Ouchi              | 707   | 500      |                               |
|                     | 6               | 1 | 2 | 2 | 6 | 3 | 3 |  | 9/19/2000 | Leymann et al.     | 707   | 10       |                               |
|                     | 6               | 1 | 1 | 8 | 8 | 6 | 5 |  | 9/12/2000 | Gisby              | 379   | 265      |                               |
|                     | 5               | 7 | 2 | 1 | 8 | 3 | 1 |  | 2/24/1998 | Waits et al.       | 395   | 210      |                               |
|                     | 5               | 4 | 2 | 3 | 0 | 4 | 3 |  | 6/6/1995  | Fitzpatrick et al. | 395   | 700      |                               |

**FOREIGN PATENT DOCUMENTS**

| DOCUMENT NUMBER |  |  |  |  |  |  |  |  |  | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|-----------------|--|--|--|--|--|--|--|--|--|------|---------|-------|----------|-------------|----|
|                 |  |  |  |  |  |  |  |  |  |      |         |       |          | YES         | NO |
|                 |  |  |  |  |  |  |  |  |  |      |         |       |          |             |    |
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|                 |  |  |  |  |  |  |  |  |  |      |         |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|  |  |   |
|--|--|---|
|  |  | Azeez, Wale, "Go With The Flow", UNIX News, 2/1997, page 49   |
|  |  | Anonymous, "Workflow Management: Document-Based Workflow Management Software By Xerox's Xsoft",<br>EDGE: Work-Group Computing Report, 3/8/1993, Vol. 4, No. 146, 3 pages. |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.